Guidehouse

Carolyn Eagan, Associate Director

ARPA & LATCF:
Closing the Compliance Reporting Loop

South Carolina Association of Counties (SCAC)

Agenda

01

Introductions

Who is Guidehouse and in what role do I currently serve?

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- **Guidehouse**

- **Grant Lifecycle Consulting**

- **Reporting Requirements**

02

Contracts, Claw backs, and Compliance

Three areas of focus to streamline reporting and compliance

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- **Contracts**

- **Claw Backs**

- **Compliance**

03

What to do next

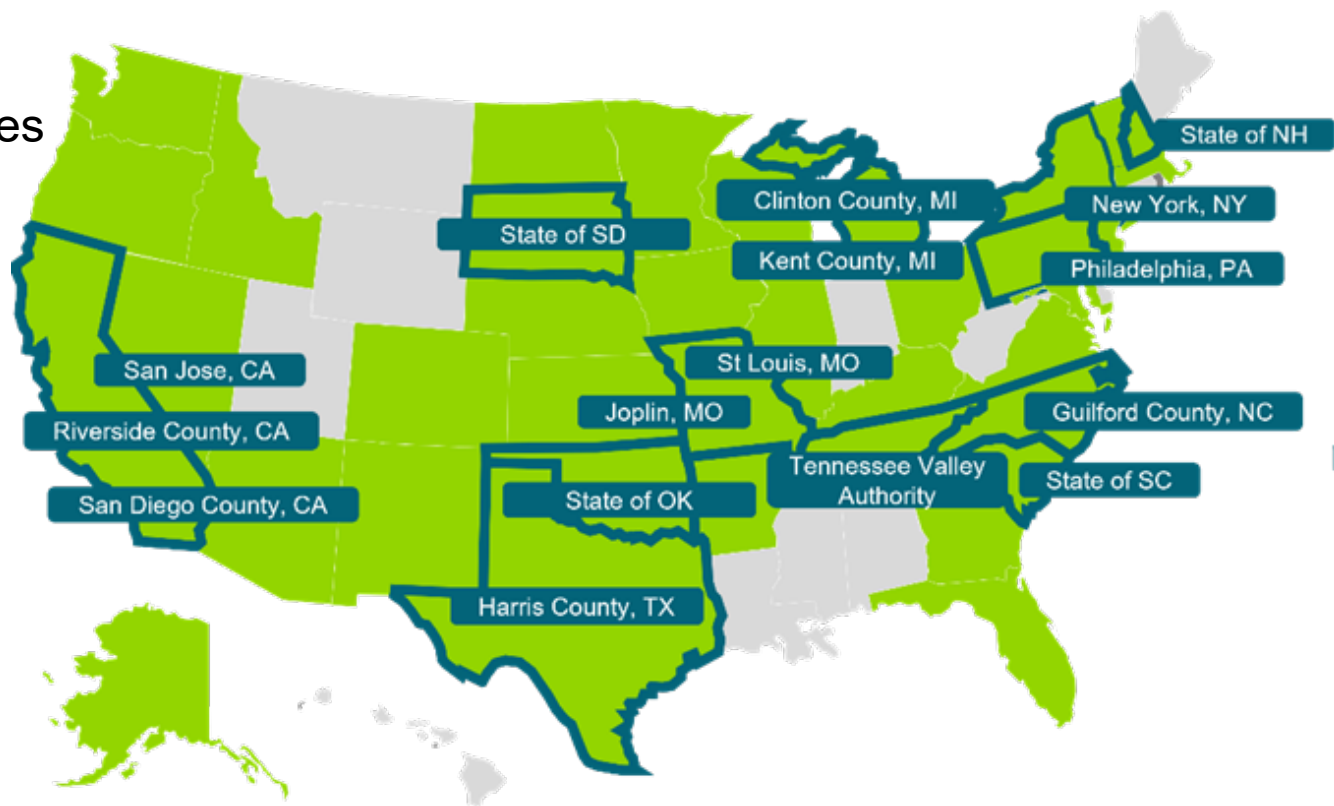Considerations for Reporting and Compliance going forward

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- **Schedule**

- **Documentation**

- **Monitoring**
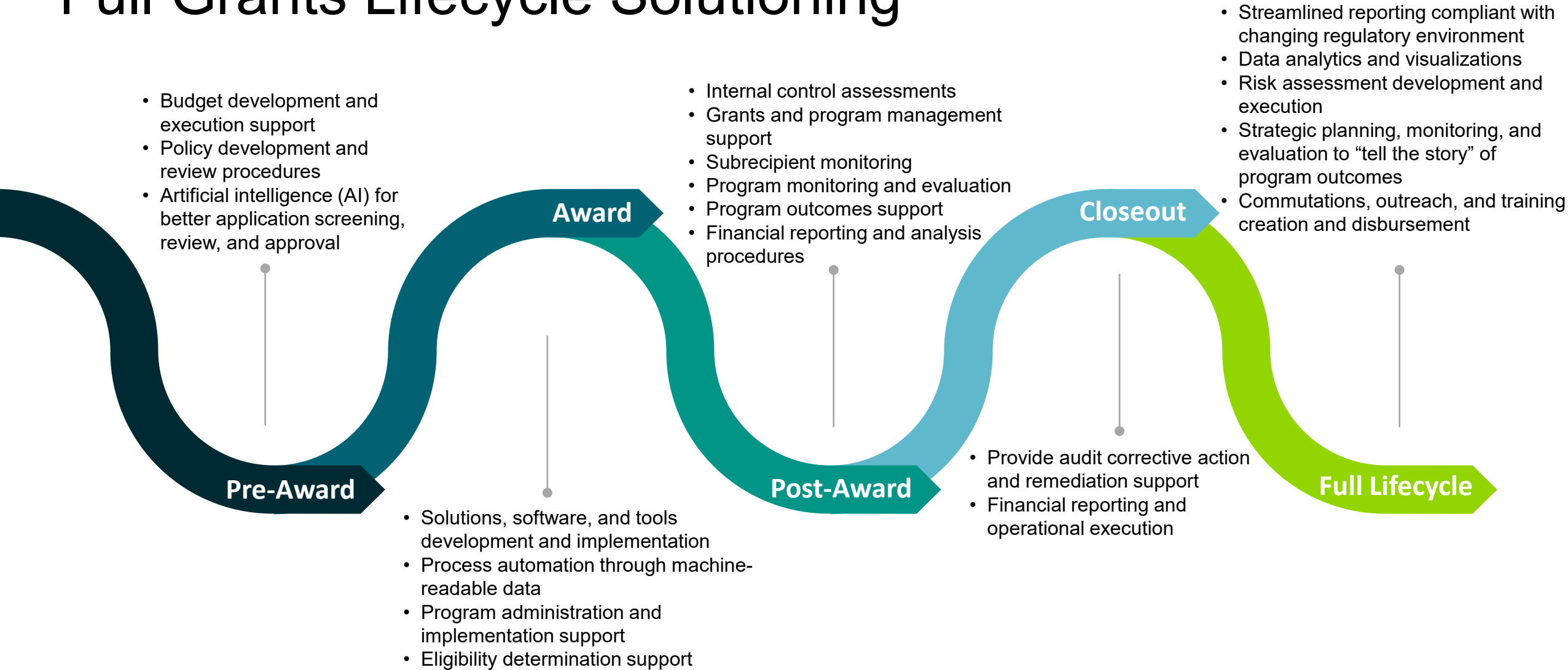
Who is Guidehouse?

Outwit Complexity

Guidehouse is a leading provider of consulting services with broad capabilities in strategy and management consulting, technology transformation, and industry solutions. We help clients address their most complex challenges and navigate significant regulatory pressures focusing on transformational change, business resiliency, and technology-driven innovation.

State of NH

Clinton County, MI

New York, NY

State of SD

Kent County, MI

Philadelphia, PA

San Jose, CA

St Louis, MO

Riverside County, CA

Joplin, MO

Guilford County, NC

San Diego County, CA

State of OK

Tennessee Valley Authority

State of SC

Harris County, TX

65+

State and local governments supported with grant management

16

Government entities where we've supported broadband, digital equity, and/or smart city/connected community work

Full Grants Lifecycle Solutioning

Pre-Award
- Budget development and execution support
- Policy development and review procedures
- Artificial intelligence (AI) for better application screening, review, and approval

Award
- Solutions, software, and tools development and implementation
- Process automation through machine-readable data
- Program administration and implementation support
- Eligibility determination support

Post-Award
- Internal control assessments
- Grants and program management support
- Subrecipient monitoring
- Program monitoring and evaluation
- Program outcomes support
- Financial reporting and analysis procedures

Closeout
- Provide audit corrective action and remediation support
- Financial reporting and operational execution

Full Lifecycle
- Streamlined reporting compliant with changing regulatory environment
- Data analytics and visualizations
- Risk assessment development and execution
- Strategic planning, monitoring, and evaluation to "tell the story" of program outcomes
- Commutations, outreach, and training creation and disbursement
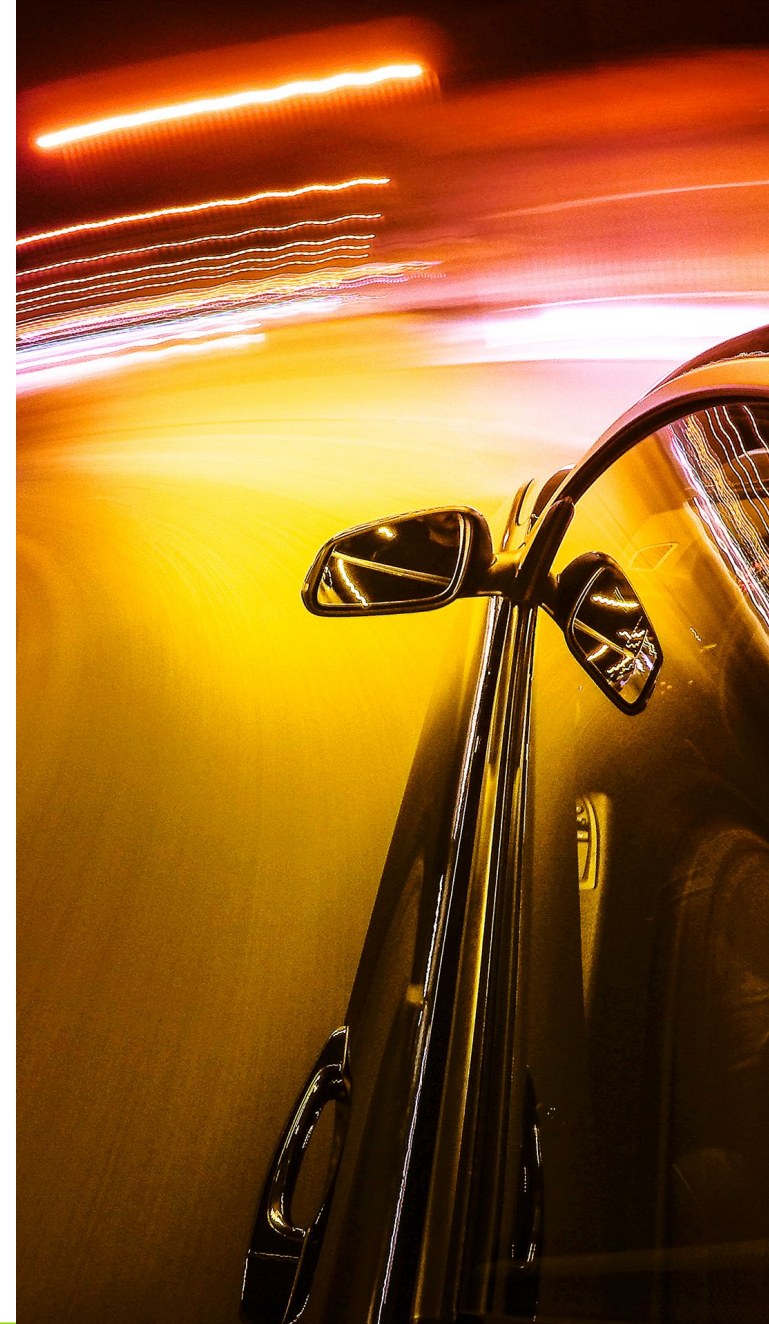
Looking Back: Q2 Reporting 2023

Evolving US Treasury Reporting Guidance for all Recipients
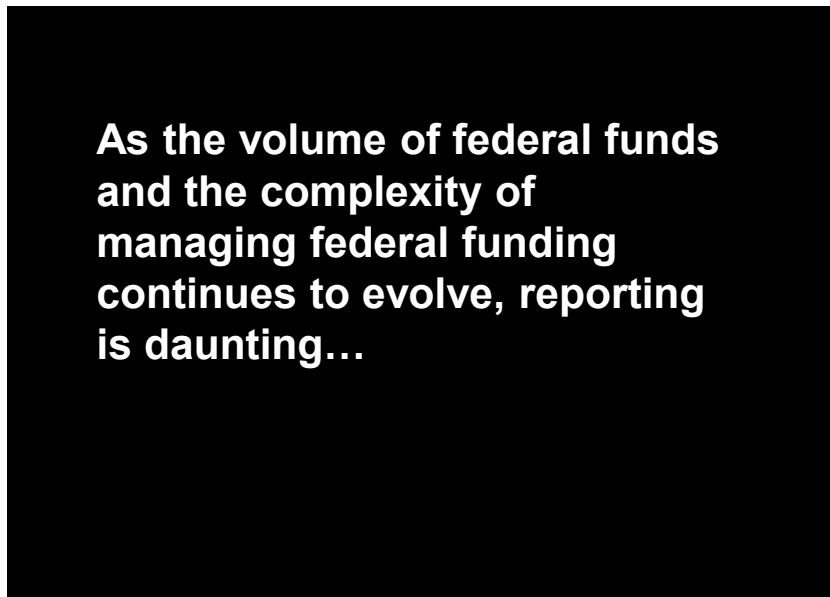
Did you take the Standard Allowance?

- Reduces your reporting to SLFRF funds in excess of $10M
- Greatly reduces reporting requirements

Other common considerations and questions

- UEI
- Fiber ID
- National Pollutant Discharge Elimination Systems (NPDES)
- Public Water Systems (PWS)
- Dates
- Locations
- Projected vs. Actual
- Labor Standards

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Contracts, Claw backs and Compliance

Continuing on the right path

Addressing challenging reporting requirements

Training our contractors and subrecipients

Finding new approaches

As the volume of federal funds and the complexity of managing federal funding continues to evolve, reporting is daunting…

Three Considerations

Two years into ARPA and LATCF, focus on…

Your contracts

- As guidance is consistently released and obligation timelines near, it's a good time to review your agreements
- Amend or update as needed
- Depending on local laws, approval of contract changes can take time

Your claw back policies

- Now that the obligation deadline is 18M away, do your subrecipients/contracts acknowledge their responsibilities to you and to U.S. Treasury?
- What internal policies are in place to reallocate funding and when will that reallocation happen

Your compliance and monitoring systems

- How is compliance and monitoring going?
- Are you getting all required reporting fields from subrecipients?
- Are you sure that all documentation is in order?

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Contracting

As guidance is consistently released and obligation timelines near, it's a good time to review your agreements. Consider:

- Amend or update contracts/agreements, as needed
- Depending on local laws, approval of contract changes can take time

Claw Backs

It's the Recipient's responsibility to ensure SLFRF and LATCF investments meet all requirements. Now that the obligation deadline is 18 months away, consider:

- Do all your subrecipients/contracts acknowledge their responsibilities to you and to U.S. Treasury? Do they have procedures in place for compliance?
- What internal County policies are in place to reallocate funding?
- What County timelines are in place for reallocation, if needed?
- If you have already reallocated, how are you reporting updates?

Compliance and Monitoring

Anticipate the challenges that your subrecipients/contractors will have.

- Is your compliance and monitoring program up and running?

- Are you getting all required reporting fields from subrecipients?

- Are you sure that all required documentation is in order and audit ready?

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What To Do Next?

Early discussions with your subrecipients and contractors is key

- Reporting protocol clarity

- Reporting fields and requirement

- Documentation and document procedures in place

- Timelines and Audits

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For more information on Guidehouse's Grants
Management capabilities, please visit:
www.guidehouse.com/services/grants-management

Thank You

Guidehouse | outwit complexity™